

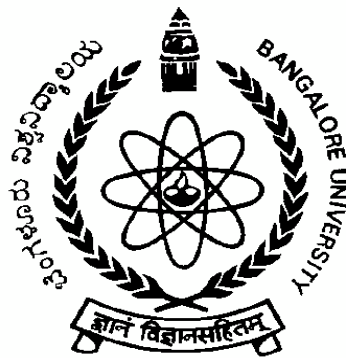
DOCTORAL DEGREE PROGRAMME

Ph.D. REGULATIONS OF 2016

(Framed under Section 44 read with Section 31 (2) (ii) of the KSU Act 2000)

(Approval of the Chancellor dated 13.12.2018

communicated vide Govt. Letter No ED 388 UBV 2018 dated 17.01.2019)



BANGALORE UNIVERSITY

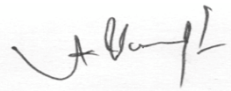
FOREWORD



Bangalore University established in 1964 is one of the largest Universities in India with 721 affiliated colleges. It is accredited by NAAC with 'A' Grade. It is at third position amongst all the universities in the State as per KSURF Survey, conducted by Govt. of Karnataka and 37th position amongst universities in the country during 2018-19 as per the Commonwealth Universities Ranking Survey. Many of the alumni of the University have a global presence. The University is a leading University in the country well known for providing quality education and research. The research output of post-graduate departments is very good in terms of Ph.D. degrees awarded and research publications in good impact factor journals in India and abroad with a large number of citations.

Ph.D. regulation is based on the modified New Regulations and procedure for award of Ph.D. Degrees by the University Grants Commission published in the Gazette of India dated 05.07.2016. A comprehensive Regulations Governing Doctoral Degree Programme prepared by the Committee constituted under the Chairmanship of the Registrar (Evaluation) has been approved by the Academic Council and Syndicate and assented by the Government and the Chancellor. These Regulations will be a guide for all the Officers, Research Supervisors / Guides and Research Scholars of the University from the stage of submission to the stage of award of the degree.

I compliment the entire staff and members of the committee involved in preparing these Regulations. I trust that the Bangalore University Ph.D. Regulations of 2016 will be of immense help in the conduct of research activities in the University.


Prof. Venugopal K R
Vice-Chancellor

Bangalore

PREFACE

Bangalore University has an elaborate doctoral research programme which is constantly being fine-tuned and upgraded in terms of new research practices and changes. The Bangalore University Ph.D. Regulations of 2016 represents an important landmark. The 2016 regulations are an attempt to standardise, professionalise and regularise the entire Ph.D. programme of Bangalore University from the admission stage to the submission stage and award of the degree. The ultimate objective is to enhance research excellence in all respects.

Let me place on record my gratitude to the Hon'ble Vice-Chancellor Prof. Venugopal K. R. for his constant guidance, encouragement and support.

I also place on record my appreciation for the untiring efforts of all the members of the Committee, the office staff of the Examination Branch in the preparation of these Regulations, so that the doctoral programme of Bangalore University continues to grow and excel.

Prof. C. Shivaraju
Registrar (Evaluation)

BANGALORE UNIVERSITY



No .EX-II/Ph.D./Regulation/2018-19.

Jnana Bharathi,
Bangalore-56.
Date: 25.01.2019.

NOTIFICATION

Sub: Regulations Governing the degree of Doctor of Philosophy
(Ph. D) - 2016 of Bangalore University.

- Ref: 1. Resolution of Academic Council passed at its meeting
held on 12.12.2017.
2. Approval of the Chancellor dated 13.12.2018 communicated
vide Govt., letter No. ED 388 UBV 2018 dated 17.01.2019
3. Approval of the Vice-Chancellor dated: 25.01.2019.

In exercise of the powers conferred on it under Section 44 of Karnataka State Universities Act, 2000, the Academic Council of the Bangalore University at its meeting held on 12.12.2017 is pleased to approve the Regulations Governing the Degree of Doctor of Philosophy (Ph. D) 2016. This shall come into force from 13.12.2018 i.e., from the date of approval of the Chancellor as per KSU Act 2000.

BY ORDER


REGISTRAR

To

1. The Deans of Faculties of Bangalore University.
2. All the Chairpersons of the P. G. Department, BUB and Principals of the Research Centers of Bangalore University.

Copy

1. All the Officers of the University
2. Bangalore University Website
3. P. S to VC/Registrar/Registrar(Eval)/ & P. A to Finance Officer, BUB
4. Office copy.



BANGALORE UNIVERSITY

Pareeksha Bhavana
Jnanabharathi Campus
BANGALORE - 560 056

No. EX-II/Ph.D.-Reg-1-Amd/2016

Date : 01.08.2016

NOTIFICATION

*Sub : Constitution of a committee to examine the new Ph.D.
Regulations as per UGC new Ph.D. Regulations, 2016*

Hon'ble Vice-Chancellor, has constituted a Committee to examine the Ph.D. Regulations of Bangalore University. The Committee will meet on 2nd August 2016 at 02.00 P.M in the Board Room, Administrative Block, JB Campus, Bangalore University, Bangalore-56, with the following members*

- | | | |
|----------------------------|--|-------------------|
| 1) Dr. Suresh V Nadagoudar | Registrar (Evaluation) | - Chairman |
| 2) Dr. K. N. Ningegowda | Registrar | - Member |
| 3) Prof. Jagadeesh Prakash | Dean, Faculty of Arts | - “ |
| 4) Dr. I.S. Shivakumar | Dean, Faculty of Science | - “ |
| 5) Dr. M. Muniraju | Dean Faculty of Commerce | - “ |
| 6) Dr. H. N. Ramesh | Prof. and Dean, Faculty of Engineering | - “ |
| 7) Dr. V. Sudesh | Dean Faculty of Law | - “ |
| 8) Dr. C. S. Karigar | Special Officer (Evaluation) | - Member Convenor |

The Hon'ble Vice-Chancellor will preside over the meeting.

All the members and Special Invitees are requested to attend the meeting and give their valuable suggestions.

Sd/-
REGISTRAR (EVALUATION)

To
The Committee Members, Bangalore University, Bangalore

Copy to :

- 1) PS to Hon'ble VC / Registrar (E) and P. A to FO, B.U.B
- 2) Dy. Registrar (Eva)/Suptd., Ph.D. Section, Pareeksha Bhavana, BUB
- 3) The Dy. Registrar, Estate Section, BUB – for information & necessary action
- 4) Office Copy

ಕರ್ನಾಟಕ ಸರ್ಕಾರ

ಸಂ.: ಇಡಿ 388 ಯುಬಿವಿ 2018.

ಕರ್ನಾಟಕ ಸರ್ಕಾರದ ಸಚಿವಾಲಯ,
ಬಹುಮಹಡಿಗಳ ಕಟ್ಟಡ,
ಬೆಂಗಳೂರು, ದಿನಾಂಕ:17.01.2019

ಇಂದ:

ಸರ್ಕಾರದ ಅಪರ ಮುಖ್ಯ ಕಾರ್ಯದರ್ಶಿಗಳು,
ಉನ್ನತ ಶಿಕ್ಷಣ ಇಲಾಖೆ,
ಬಹುಮಹಡಿ ಕಟ್ಟಡ,
ಬೆಂಗಳೂರು.

RP/AD

ಇವರಿಗೆ:

ಕುಲಸಚಿವರು,
ಬೆಂಗಳೂರು ವಿಶ್ವವಿದ್ಯಾಲಯ,
ಬೆಂಗಳೂರು.

ಮಾನ್ಯರೆ,

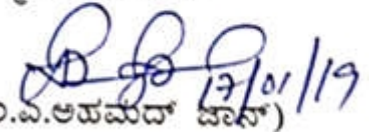
ವಿಷಯ: ಬೆಂಗಳೂರು ವಿಶ್ವವಿದ್ಯಾಲಯದ Regulations governing the
degree of Doctor of Philosophy (PhD) ರ ಬಗ್ಗೆ

ಉಲ್ಲೇಖ: ತಮ್ಮ ಪತ್ರ ಸಂಖ್ಯೆ: Ex-II/Ph.D/Regulations/2018,
ದಿನಾಂಕ:09.02.2018

-----*****-----

ಮೇಲ್ಕಂಡ ವಿಷಯಕ್ಕೆ ಸಂಬಂಧಿಸಿದಂತೆ, ಉಲ್ಲೇಖಿತ ತಮ್ಮ ಪತ್ರದನ್ವಯ ಸಲ್ಲಿಸಲಾಗಿದ್ದ
ಬೆಂಗಳೂರು ವಿಶ್ವವಿದ್ಯಾಲಯದಿಂದ ಸಲ್ಲಿಸಲಾಗಿರುವ Regulations governing the degree of Doctor
of Philosophy (PhD) ಗಳಿಗೆ ಮಾನ್ಯ ರಾಜ್ಯಪಾಲರು ಹಾಗೂ ಕುಲಾಧಿಪತಿಗಳು
ದಿನಾಂಕ:13.12.2018ರಂದು ಅನುಮೋದನೆ ನೀಡಿರುತ್ತಾರೆ ಎಂದು ತಿಳಿಸಲು ಹಾಗೂ ಮಾನ್ಯ
ರಾಜ್ಯಪಾಲರು ಹಾಗೂ ಕುಲಾಧಿಪತಿಗಳಿಂದ ಅನುಮೋದಿಸಲ್ಪಟ್ಟ ವಿನಿಯಮಗಳ ಪ್ರತಿಯನ್ನು ಈ
ಪತ್ರದೊಂದಿಗೆ ಲಗತ್ತಿಸಿ ಕಳುಹಿಸಲು ನಾನು ನಿರ್ದೇಶಿಸಲಾಗಿದ್ದೇನೆ

ತಮ್ಮ ನಂಬುಗೆಯ,


(ಎಂ.ಎ.ಅಹಮದ್ ಜಾನ್)

ಸರ್ಕಾರದ ಅಧೀನ ಕಾರ್ಯದರ್ಶಿ,
ಉನ್ನತ ಶಿಕ್ಷಣ ಇಲಾಖೆ
(ವಿಶ್ವವಿದ್ಯಾಲಯಗಳು-2)

17/01/2019

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GENERAL INSTRUCTIONS

- 1 The Ph.D. candidates are required to strictly adhere to all the procedures and requirements as prescribed in these regulations.
- 2 If any action is required under these regulations, the relevant provisions have to be cited/quoted.
- 3 On the completion of the research work the Ph.D. thesis has to be prepared according to the format provided. (see Appendix 6,7,8,9) and presented as per the sequence of items as listed in Appendix 7.
- 4 The length of the thesis should preferably be between 250-300 pages. The print out should be back-to-back.
- 5 Every Ph.D. candidate is expected to procure/download a copy of the Ph.D regulations, and be familiar with all the rules, regulations and requirements. Ignorance of the rules, regulations and requirements shall not be accepted as an excuse for any technical lapse on the part of the candidate.
- 6 Every Research Supervisor is expected to be familiar with all these rules, regulations and requirements in this capacity.
- 7 The Ph.D. candidate has to prepare and sign the Declaration as per the format provided (Appendix 3)
- 8 The Research Supervisor has to prepare and sign the Declaration as per the format provided (Appendix 4)
- 9 Before sending the thesis for evaluation, both the Chairperson of the University P.G. Department and the Research supervisor shall sign the certificate for evaluation of the thesis in all the copies of the thesis. (See Appendix 5)
- 10 The research supervisor (and co-supervisor where applicable) shall to be given a final copy of the thesis.
- 11 The final copy of the thesis shall also be submitted to the Library of the University after the award of the Degree.

BANGALORE UNIVERSITY

Ph.D. REGULATIONS OF 2016

01 TITLE, COMMENCEMENT AND PURPOSE

- 1.1 These Regulations shall be called, “Regulations Governing the Degree of Doctor of Philosophy (Ph.D.) of Bangalore University, 2016”.
- 1.2 These Regulations shall come into force from the date of assent of the Chancellor.
- 1.3 **PURPOSE:** The regulations are meant to standardise, regularise and professionalise the entire Ph.D. programme of Bangalore University right from the admission stage to the submission and award of the Degree. The ultimate objective is to enhance academic/research excellence in all respects.

02 DEFINITIONS in these Regulations, unless the context requires otherwise or it is specifically so defined. All the terms used in these regulations relates to Bangalore University, unless otherwise stated.

- 2.1 **University** means Bangalore University unless otherwise stated.
- 2.2 **Degree** means the Degree of Doctor of Philosophy (Ph.D.).
- 2.3 **Fee** means the fee prescribed by the University for the Ph.D. programme from time-to- time.
- 2.4 **Entrance Test** means the test taken by the applicants who seek to qualify themselves for registration for Ph.D. programme.
- 2.5 **Research Scholar** means a candidate who is registered for a Ph.D. Programme either in a Full-time or Part-time mode as per rules of the University.
- 2.6 **Provisional Registration** means the registration memo issued to the candidates by the University w.e.f. from the date of the counselling. The memo shall be issued by the University only after the payment of the fees which shall be paid by the candidate within ten days after the counselling.
- 2.7 **Date of Registration** means the date from which the candidate is provisionally registered (see above).
- 2.8 **Course Work** means the courses prescribed as a part of Ph.D. programme and which all the candidates shall successfully complete as a pre-requisite for confirmation of registration.
- 2.9 **Research Supervisor** means the qualified permanent teacher who is recognized by the University as Research Supervisor to guide the candidates for their Ph.D. programme. Temporary, part-time and guest faculty (full-time or part-time) are not allowed to supervise Ph.D. candidates.

- 2.10 **Co-supervisor** means the recognized research supervisor (not from the same Department) who guides and supervises an inter-disciplinary doctoral thesis of a candidate as the second supervisor along with the main research supervisor.
- 2.11 **Doctoral Committee** means the Committee constituted by the University to consider the half yearly progress report, permit change of title, permit change of research supervisor, permit extension of time to submit the thesis, to issue show cause notices if required, to give permission to submit the thesis and such other duties entrusted by the University to the Committee from time-to-time in connection with the Ph.D. programme.
- 2.12 **Research Advisory Committee (RAC)** means the Committee constituted by the University to allot candidates for the Ph.D. programme from the consolidated merit list (Entrance test category) and the Non-Entrance test category; to assess the research proposal of all the candidates for registration, to review the progress reports of all the candidates, to review final synopsis of the candidates and to perform any other function assigned by the University.
- 2.13 **Board of Studies** means the Board of Studies (Post-Graduate) of the University in the discipline/subject concerned.
- 2.14 **Research Proposal** means a brief write-up on the proposed research work which shall be submitted by the candidate along with the application for registration for Ph.D. programme.
- 2.15 **Final Synopsis** means the summary of the completed research work which shall be submitted by the candidate.
- 2.16 **Sponsored Candidates** mean those permanent employees of educational and/or research institutions and organizations of public/private sector deputed to pursue Ph.D. including the permanent teachers on FIP/QIP. For these candidates the date of registration shall be effective from the date of relieving order from the employer.
- 2.17 **Foreign Students** mean those who are foreign citizens or sponsored by ICCR.
- 2.18 **Academic Council** means the Council constituted under Section 30 of the KSU Act 2000.
- 2.19 **Refereed/Reputed Journals** means a professional or literary journal or publication in which articles or papers are selected for publication through peer review process or journals listed by the UGC.
- 2.20 **Credit** means the weightage assigned to a course in terms of the contact hours.
- 2.21 **Grade** means the letter grade assigned to a student on the basis of evaluation of a course on the ten point scale as per the UGC norms.

- 2.22 **Plagiarism** means passing off someone else's work, whether intentionally or unintentionally, as your own for your own benefit. It is important to understand that plagiarism even if it is unintentional is unacceptable and is not excused.
- 2.23 **References** mean all the author/year - detailed in text citations - organised as an alphabetical list of 'references' at the end of the thesis. Note that this is a list of references and NOT a 'bibliography'. Hence the candidate should provide the details of only such sources that are cited in the text or in the footnotes.

However, every candidate may include up to a maximum of fifteen additional sources which do not figure as in-text citations, but are considered by the candidate to have had a seminal impact on the candidates understanding of his/her area of research.

03 ELIGIBILITY CRITERIA AND NATURE OF THE Ph.D. PROGRAMME

- 3.1 A candidate shall work for Ph.D. degree in a subject studied at the Master's Degree or related subject under a recognized research supervisor. However, a candidate may be allowed to conduct research in a subject other than one chosen for the Master's Degree, provided it is of an inter-disciplinary nature, with the approval of the concerned Board of Studies.
- 3.2 If the research topic is of an inter-disciplinary nature, the research supervisor may opt for a co-supervisor (not be from the same department) who shall be a recognized research supervisor of the University. However the candidate shall finalize and submit the thesis through the main research supervisor.
- 3.3 There shall be two types of research candidates: full-time and part-time candidates. Full-time Ph.D. candidates undertake research on a full-time basis. The Part-time Ph.D. programme is for working persons in the University and outside such as teachers/ scientists/ professionals / librarians/ physical education personnel and those employed in various institutions/ industries/ corporations/ organizations.
- 3.4 Those in employment and wish to take up the Ph.D. programme on a full-time basis shall produce a certificate from their employer of having been sanctioned study leave. Other candidates who take up the Ph.D. programme on a full-time basis shall give an undertaking to the effect that they will not take up any job during the period of research. If the candidate takes up a job, then the full-time registration may be converted into part-time on conditions similar to part-time research.
- 3.5 The Ph.D. scholar shall not be permitted to join any other course whether in regular and/or distance mode. They shall not appear for any other examination conducted by the University or any other University during the period of registration, except the Ph.D. course work end examination. Any candidate who violates this provision, the registration will stand cancelled.

- 3.6 If any candidate doing Ph.D. on a full-time basis is found to be doing a full-time job, his/ her Ph.D. registration will stand cancelled.

04 ADMISSION TO THE Ph.D. PROGRAMME

- 4.1 Candidates for admission to the Ph.D. programme shall have a Master's degree from a University recognised by the UGC or a professional degree declared equivalent to the Master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized.
- 4.2 A maximum relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/Cat-I/OBC /differently abled and other categories of candidate as specified by the Government from time-to-time.
- 4.3 Candidates possessing a Degree considered equivalent to M.Phil. Degree of an Indian Institution (under the regular mode) or from a foreign educational institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions. The decision of the Registrar (Eval) shall be final regarding equivalence.
- 4.4 Candidates who have cleared the M.Phil. course work through the regular mode with at least 55% marks in aggregate or an equivalent grade in a point scale wherever grading system is followed, shall be eligible to proceed to do research work leading to the Ph.D. Degree. A maximum relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/Cat-I/ OBC (non-creamy layer)/ differently abled and other categories of candidates as per the decision of the Government from time-to-time.

05 CRITERIA FOR OFFERING THE Ph.D. PROGRAMME IN THE RESEARCH CENTERS

- 5.1 Colleges may be considered eligible to offer Ph.D. programmes only if they satisfy the availability of eligible research supervisors, required infrastructure and supporting administrative and research promotion facilities as per these Regulations.
- 5.2 Post-graduate Departments of colleges, research laboratories of Government of India/State Government with at least two Ph.D. qualified teachers/scientists/other academic staff in the Department concerned, along with required infrastructure, supporting administrative and research promotion facilities, shall be considered eligible to offer Ph.D. programmes. Colleges should additionally have the

necessary recognition as a Research Center by the University to offer Ph.D. programme.

5.3 Colleges with adequate facilities for research as mentioned below shall offer Ph.D. programmes:

5.3.1 In case of science and technology disciplines, exclusive research laboratories with necessary equipment as specified by the University with provision for adequate space per candidate, along with computer facilities and essential software and uninterrupted power and water supply;

5.3.2 Earmarked library resources including latest books, Indian and international journals, e-journals, extended working hours for all disciplines, adequate space for research scholars in the Department/ library for reading, writing and storing study and research materials;

5.3.3 Colleges may also access the required facilities of the neighbouring Universities / Colleges, or of those Universities/Colleges/R&D laboratories/ organizations which have the required facilities. This has to be done with their consent.

5.3.4 Colleges should additionally have the necessary recognition as a Research Center by the University to offer the Ph.D. programme.

06 PROCEDURE TO BE RECOGNISED AS A RESEARCH SUPERVISOR, CO-SUPERVISOR

6.1 Any regular Professor of the University P.G. Department/ Constituent colleges of the university/ recognised research centers with at least five research publications in refereed/ reputed journals; and any regular Associate/Assistant Professor of the University PG Department/University constituent colleges/recognised research centers with a Ph.D. degree and at least two research publications in refereed/ reputed journals, may be recognized as research supervisor by the concerned BOS. The matter need not be placed before the Doctoral Committee.

6.2 Scientists/Professionals working in research institutions recognized as Research Centers by the University, who have Ph.D. degree with at least three years of independent research experience after Ph.D. degree as evidenced by publications in refereed/ reputed journals, shall be eligible to be recognized as research supervisors in their subjects.

6.3 Only a full-time regular teacher of the University PG Department/University constituent colleges/recognised research centers can act as a research supervisor. No part-time teachers/ temporary teachers/ part-time or full-time guest faculty will be permitted to supervise.

6.4 In case of topics which are of inter-disciplinary nature, where the University PG Department/University constituent colleges/recognised research centers feel that the

expertise has to be supplemented from outside the Department, the Research Advisory Committee (RAC) of the University P.G Department shall – in consultation with the concerned research supervisor - suggest the name of a co-supervisor, from other Departments of the University or from other related institutions, who shall be a recognised research supervisor as per the university regulations.

- 6.5 A research supervisor shall not become a co-supervisor for more than three candidates at any given point of time.
- 6.6 The University shall not recognize the College/institution/laboratory affiliated to another University as its Research Center.
- 6.7 A research supervisor who is a Professor, at any given point of time, can guide up to a **maximum of eight Ph.D. scholars**;

An Associate Professor at any given point of time, can guide up to a **maximum of six Ph.D. scholars**; and

An Assistant Professor at any given point of time, can guide up to a **maximum of four Ph.D. scholars**.

These maximum limits prescribed above, cannot be exceeded under any circumstance, unless specified by the UGC.

- 6.8 Professor Emeritus / BSR faculty fellows with a research project from national funding agencies and who are within the University Departments, and who are recognised research supervisors, can also supervise up to a maximum of three candidates for Ph.D.
- 6.9 In case of relocation of a Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the candidate intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent University / supervisor from any funding agency. The Ph.D. candidate will however give due credit to the parent research supervisor and the institution for the part of research already done.
- 6.10 All the research supervisors of the University P.G. Department/University constituent colleges/ all recognised research centers shall not be allowed to take up research guidance - full-time or part-time - in universities/research centers/ research organisations/ research laboratories outside the jurisdiction of Bangalore University.
- 6.11 The research supervisors should have a minimum of two years service left to register new Ph.D. candidates under their guidance.

07 PROCEDURE FOR ADMISSION

- 7.1 The University shall notify well in advance in the University website and through advertisement in at least two national newspapers, of which at least one shall be in the regional language, the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, procedure for admission, examination centre(s) where the entrance test shall be conducted and all other relevant information for the benefit of the candidates
- 7.2 The admission shall be based on the criteria notified by the University, keeping in view the guidelines/norms in this regard issued by the UGC and other statutory bodies concerned, and taking into account the reservation policy of the Central/State Government from time-to-time.
- 7.3 **Submission of Application:** The eligible candidates shall submit the application in the prescribed format (APPLICATION – A) to the Registrar (Evaluation)/ Officer designated for the purpose in response to the notification of the University.
- 7.4 If any Ph.D. candidate is found to have submitted fake records while securing admission to the Ph.D. course, his/ her registration shall stand cancelled without any notice and may also be liable for criminal action.

08 THE ENTRANCE TEST

- 8.1 The University shall admit candidates on the basis of the following procedure:
 - 8.1.1 An Entrance Test shall be conducted in which the candidate should secure minimum qualifying marks of 50% to be eligible for Ph.D. admission. The candidates who secure less than 50% in the entrance test shall not be eligible for inclusion in the consolidated merit list under any circumstance.
 - 8.1.2 The syllabus of the Entrance Test shall consist of 10% questions on research methodology and 90% shall be subject specific. The Entrance Test shall be conducted at the Centre(s) notified in advance by the University.
 - 8.1.3 Maximum marks for Entrance Test : 100.
 - 8.1.4 Nature of questions : Multiple choice questions of two marks each (candidates will have to attempt a total of fifty questions). These shall be prepared in two sets by external experts.
 - 8.1.5 Syllabus for the Entrance Test: Research Methodology and cognate/ core subjects of the P.G. courses.
 - 8.1.6 The University shall make necessary arrangements for dispersing suitable remuneration for question paper setting and the conduct of the Ph.D. examination.

8.1.7 Duration of Entrance Test : 120 minutes.

8.1.8 All those candidates who take up inter-disciplinary topics for research have to compulsorily appear for the entrance test in the concerned Department where the candidate has applied. This is mandatory.

09 PREPARATION OF THE MERIT LIST, ALLOCATION OF RESEARCH SUPERVISORS, PROVISIONAL REGISTRATION AND SUBMISSION OF APPLICATION - B

9.1 After the Entrance Test, a Consolidated Merit List of all the candidates shall be prepared by the University by taking into consideration the marks of only such candidates who have secured a minimum of 50 % in the entrance test and the marks obtained by the candidate in the qualifying examination in the ratio of 50:50. However, the candidate has to secure overall minimum of 55% marks. The overall minimum marks shall be 50% for SC/ST/Cat-I/OBC to be eligible for provisional registration. No further relaxation shall be made under any circumstance even if the seats remain vacant.

9.2 The Merit List of the candidates who have cleared the UGC/CSIR: JRF Test/ NET/ KSET/ SLET/ GATE/ Recognised young researchers by DST, UGC, CSIR, AICTE, INSPIRE etc., shall be prepared in that order, based on the marks secured by the candidates at the post-graduate level/ M.Phil Degree through regular mode with course work /teachers on QIP/ foreign candidates.

All such candidates who do not figure in the list mentioned above shall have to do the Ph.D. entrance examination.

9.3 Names that appear in the consolidated merit list shall be notified by the Registrar (Eval) and shall be considered for the existing vacancies only. The candidates shall be called for counselling before the RAC at the University P.G Department, at the ratio of 1:5 according to the availability of seats.

9.4 The allocation of candidates to the research supervisors shall be done by the RAC in the University P.G Department, consisting of all the research supervisors on the following basis:

9.4.1 Admissions shall be made by filling only the existing vacancies with a research supervisor, strictly on the basis of the consolidated merit list furnished by the Registrar (Eval) at the time of counselling. No new additions shall be made to this consolidated merit list under any circumstance.

9.4.2 Admissions shall be made by strictly following the roster system;

9.4.3 Admissions shall be made by strictly adhering to the rankings secured by the candidates in the consolidated merit list, irrespective of the specialisation of the research supervisor.

- 9.4.4 The vacancies shall be allotted equally to the Entrance and non-Entrance categories. However the vacant seats, if any, are mutually transferable.
- 9.4.5 In case two candidates secure the same ranking in the entrance test, then the marks scored by the candidate in the P.G. examination shall be considered.
- 9.4.6 No research supervisor shall be allowed to guide candidates exceeding the maximum limit as stipulated in Clause 6.7. Under no circumstances can this be exceeded.
- 9.5 A Professor is allowed to guide a **maximum of eight candidates**. The candidates shall be distributed on the following basis:
a) GM - 4; b) SC - 1; c) ST/Cat-I - 1; d) IIA -1; e) IIB/IIIA/IIIB - 1.
- 9.6 An Associate Professor is allowed to guide a **maximum of six candidates**. The candidates shall be distributed on the following basis:
a) GM-3; b) SC/ST/Cat-I-1; d) IIA - 1; e) IIB/IIIA/IIIB – 1.
- 9.7 An Assistant Professor is allowed to guide a **maximum of four candidates**. The candidates shall be distributed on the following basis:
a) GM - 2; b) SC/ST/Cat-I - 1; c) IIA/IIB/IIIA/IIIB – 1.
- 9.8 The seats reserved for SC/ST/Category-I candidates shall be Inter-changeable (i.e., if there are no eligible candidates from SC, the SC vacancy shall be filled with ST/Category-I candidate and vice-versa). These vacancies shall not be transferred to OBC or the General pool.
- 9.9 Any vacancy that may arise after the counselling by the RAC, but before the commencement of the course work shall be filled up strictly on the basis of the provisions of Clauses 9.4.1 to 9.4.6. and Clauses 9.5 to 9.8
- Any vacancy that may arise after the commencement of the course work shall remain frozen. No counselling /admissions shall be done after the commencement of the course work under any circumstance.
- 9.10 Once the allotment process is completed by the RAC, the selected list along with the relevant documents shall be sent to the Registrar (Eval) for verification and approval.
- 9.11 Provisional registration means the registration memo issued to the candidates by the University w.e.f. from the date of the counselling.
- The memo shall be issued by the University only after the payment of the fees which shall be paid by the candidate within ten days after the counselling.
- 9.12 The provisional registration memo shall be issued within one month after the counseling, the candidate has to abide by the following requirements:
- 9.12.1 The provisional registration memos shall be sent by the Ph.D. Section to the respective University PG departments within one month period.

- 9.12.2 It is the responsibility of all the candidate to personally collect the provisional registration memo from the concerned University PG Department.
- 9.12.3 Immediately thereafter, the candidate shall submit APPLICATION – B along with the tentative research proposal to the Registrar (Evaluation), through the University PG Department/University constituent colleges/ recognised research centers and the concerned research supervisor. This shall be done by paying the requisite fee to the University.
- 9.12.4 The entire process should be completed before the commencement of the course work classes.
- 9.13 The course work classes shall commence within fifteen days after the provisional registration memos are issued – as per the provisions of Clauses 9.11 and 9.12. However, classes shall not be held during the official vacation of the university.

10 COURSE WORK

- 10.1 All the provisionally registered candidates shall complete the course work in the University PG Department/ University constituent colleges/ recognised research centers – where the research supervisor is working. The course work is mandatory and shall be treated as a prerequisite for Ph.D. preparation.
- 10.2 All the provisionally registered candidates shall take up the course work in the University PG Department/ University constituent colleges/ recognised research centers on the following basis:
- 10.2.1 Both the full-time and part-time candidates have to complete the course work in one semester, on a full-time basis.
- 10.2.2 In exceptional cases, the part-time research candidates may be allowed to complete the course work in two semesters.
- 10.2.3 In the case of full-time course work, the candidates shall take papers I, II, III/ complete the requirements of internal assessment/ attend the viva-voce examination/ work on the research proposal under the supervision of the research supervisor - all in one semester.
- 10.2.4 In the case of part-time course work, the candidates shall take papers I, II & III/ complete the requirements of internal assessment/ attend the viva-voce examination – all in the first semester, along with the full-time course work candidates. This is mandatory.
- In the second semester the part-time course work candidates shall prepare and submit the research proposal under the supervision of the research supervisor.
- 10.3 The University PG Department/University constituent colleges/recognised research Centers - where the candidate is pursuing research - shall conduct the course work as per the provisions prescribed in Clauses 10.1 - 10.10.

10.4 Candidates already holding M. Phil. Degree through regular mode and admitted to the Ph.D. programme and who have already completed the course work in M.Phil. are exempted from the course work. The exemption shall be granted by the RAC, provided the topic of the M.Phil. dissertation and proposed research work are in the same field. All other candidates admitted to the Ph.D. programme shall be required to complete the Ph.D. course work as prescribed in Clause 10.5.

10.5. The Pattern of the Course Work:

The credit assigned to the Ph.D. course work shall be a minimum of 08 credits and maximum of 16 credits, as per UGC notification May 5, 2016, Clause 7.

The Pattern of Course Work for the Ph.D. Programme.

Sl. No.	Name of the Course	Contact Hours per Week & Exam Hours	Maximum Marks			Credits
			Continuous Assessment	Course-end Examination	Total	
01	Paper - I: Research Methodology	03	30	70	100	04
02	Paper - II: Cognate/ Core Subject	03	30	70	100	04
03	Paper - III: Field of Specialization	03	30	70	100	04
		Total	90	210	300	12
Viva Voce			50			

10.6 The course work for Ph.D. programme shall comprise of three papers of 100 marks each, viz., Paper – I Research Methodology, Paper – II Cognate/core subjects and Paper – III Field of specialization. In addition to this, a candidate shall also work to prepare the Research Proposal under the supervision of the research supervisor. There shall also be a comprehensive viva-voce for 50 marks. The internal/continuous assessment will be 30 marks for each paper.

10.7 Papers–I and II are common for all the research candidates in a particular Department/ Subject/Discipline.

Paper–III shall be common for all the research candidates working under a particular Research Supervisor.

10.8 Each paper shall have 48 contact hours. Classes for Papers – I and II shall be arranged by the Chairperson of the University P.G Department / Principal of an constituent College / Head of the recognized Research Center and Paper – III by the concerned research supervisor. Both the full-time and part-time candidates shall attend a minimum of 75% of the classes in each paper, to be eligible to appear for the course-end examination.

- 10.9 The candidates who fail to attend a minimum of 75% of the classes in each of the papers:
- 10.9.1 Shall not be eligible to appear for the course-end examination.
 - 10.9.2 Shall not obtain the confirmation of registration for the Ph.D. programme.
 - 10.9.3 Such candidates shall be given only one more chance to attend the classes and complete the course work. This shall be done along with the Ph.D. candidates who are admitted in the next batch.
 - 10.9.4 If the candidate fails in this examination, the provisional Registration shall stand cancelled.
- 10.10 Continuous assessment marks of the course work shall be awarded by the teachers for each paper based on the following criteria: (a) Assignments - 5 marks, (b) Review of Literature - 5 marks, (c) Seminar - 10 marks, and (d) Tests - 10 marks
- 10.11 The concerned Board of Studies (PG) shall prepare and approve the course inputs for each of the three papers.
- 10.12 There shall be a Board of Examiners (Ph.D.) which will be constituted by the Registrar (Eval) based on the panel of examiners approved by the Board of Studies.
- 10.13 The Chairperson of the University P.G Department shall get the requisite number of question papers set, get them approved by BOE, send them to the Registrar (Eval) and arrange for the evaluation of answer scripts. The Chairperson of the University P.G Department shall arrange to conduct the course work examination for all the candidates viz., those attached to the University PG Department/ University constituent colleges/ recognised research centers. The course-end examination shall take place in the University P.G Department.
- 10.14 The course-end examination will be of three-hour duration (70 marks per paper). Each answer script of the course-end examination shall be coded and assessed by two examiners preferably one internal course teacher and another external. The marks awarded to the answer script shall be the average of these two evaluations.
- 10.15 If the difference in the marks between the two evaluations exceeds 20% then it shall be assessed by a third examiner (an internal examiner who has not valued this paper earlier). The marks awarded by the third examiner shall be final.
- 10.16 Marks for the three course work papers, the internal assessment and the viva-voce examination shall be finalized and communicated to the Registrar (Eval) within one month after the course-end examination. Results shall be announced within twenty days thereafter.

11 MINIMUM PASS MARKS AND SUPPLEMENTARY EXAMINATION FOR COURSE WORK

- 11.1 Minimum for pass in each written paper shall be 50% in the course end examination and 55% in aggregate. This includes the course end examination marks, the viva voce examination marks and the continuous assessment marks. However, there shall be no minimum for viva-voce. But every candidate shall compulsorily attend the viva-voce examination which will be held in the University P.G. Department.
- 11.2 The results shall be declared on the basis of the marks secured by the candidate in all the three papers, the internal/continuous assessment and viva-voce examination.
- 11.3 Failed candidates shall be allowed to take only one supplementary examination within six months of their first examination. No further attempts will be allowed. In case the candidate fails even in the supplementary examination, the provisional registration will stand cancelled.

12 SUBMISSION OF RESEARCH PROPOSAL, SUBMISSION OF APPLICATION – C AND CONFIRMATION OF REGISTRATION

- 12.1 Within one month after the declaration of the course work results, the successful candidates shall submit the final application (APPLICATION – C), along with the Research Proposal to the Registrar (Eval) through the concerned research supervisor and forwarded by the Chairperson of the University PG Department / Principal of an constituent College/ Head of the recognized Research Centre.
- 12.2 In case any Ph.D. candidate does not submit Application – C within one month after the declaration of the course work results, then an additional fifteen days grace period shall be provided. If the candidate fails to submit Application – C even during this grace period, then the Provisional Registration of the candidate will stand cancelled.
- 12.3 The candidates shall prepare the Research Proposal clearly outlining the following: the objectives, scope, relevance, hypotheses, methodology, literature survey, tentative chapters of the proposed research and a bibliography.
- 12.4 The Doctoral Committee shall scrutinize and approve the research proposal. The Committee is empowered to suggest changes, if necessary, in the title/scope/ methodology of the proposal in consultation with the research supervisor and the external expert. The research supervisor shall ensure that these changes are incorporated in the research proposal, and hence it need not be re-submitted to the Doctoral Committee. It will ipso facto be taken as approved.
- 12.5 The University shall issue the confirmation of Ph.D. Registration within one month after the approval of the research proposal by the Doctoral Committee.

13 DURATION OF THE Ph.D. PROGRAMME

- 13.1 The Ph.D. programme shall be for a minimum duration of three years, including course work and a maximum of six years from the date of provisional registration.
- 13.2 Every full-time candidate after completion of a minimum of three years and maximum of five years; and every part-time candidate after completion of minimum of four years and a maximum of six years from the date of Provisional Registration, is eligible to submit the thesis.
- 13.3 The women candidates and research candidates with more than 40% disability may be allowed a relaxation of two years for Ph.D. in the maximum duration. In addition, the women candidates may be provided maternity leave/child care leave only once in the entire duration of the Ph.D. programme for up to a maximum of 240 days, with fees for extension as prescribed by the University from time-to-time.
- 13.4 Part-time to full-time and full-time to part-time: With the approval of the Doctoral Committee, a part-time candidate may be permitted to work on full-time basis on valid grounds. Similarly, a full-time candidate may also be permitted to work on part-time basis on valid grounds. The period of such registrations shall be three years from the date of changeover or four years from the date of provisional registration, whichever is earlier.
- 13.5 Part-time candidates shall work for at least 120 working days for the entire duration of the Ph.D. Course in the University PG Department/ University constituent colleges/ recognised research centers -- of the research supervisor, excluding the period of course work.
- 13.6 Under extraordinary circumstances warranting a further extension of time for submission of thesis, the candidate shall before the expiry of the maximum period as provided in Clause 13.2(after paying the extra fee as prescribed by the University) write to the Registrar (Eval) through the research supervisor and forwarded by the Chairperson of the University P.G Department/ Principal of the constituent College/ Head of the recognised Research center. The Registrar (Eval) shall refer the same to the Doctoral Committee for its opinion. The opinion of the Doctoral Committee shall be sent to the Vice-Chancellor. The decision of the Vice-Chancellor shall be final and the extension is limited to a maximum of one year.

14 PREPARATION AND SUBMISSION OF PROGRESS REPORTS

- 14.1 After the completion of the course work and confirmation of the registration, every Ph.D. candidate shall submit a half-yearly progress report regularly in the month of January and July to the Registrar (Eval) through the concerned Research Supervisor and forwarded by the Chairperson of the university P.G Department / Principal of a constituent College/ Head of the recognized research centre.

- 14.1.1 All the Ph.D. candidates (including those attached to research supervisors in the research centers) shall present the progress report once in six months before the Research Advisory committee (RAC) in the University P.G Department. This is mandatory for all the Ph.D. candidates.
 - 14.1.2 Submitting the half-yearly progress reports within the deadline (see Clauses 14.1.1 – 14.1.10) is the responsibility of the Ph.D. candidate.
 - 14.1.3 Every six months the research supervisor has to forward the progress report of the candidate for presentation before the RAC, irrespective of the progress made by the candidate. The RAC shall assess the progress made by the candidate. The research supervisor shall certify to the progress of the candidate in the slot provided for in the synoptic Progress Report format, even if the progress is not satisfactory. In case the progress is not satisfactory an explanation has to be provided by the research supervisor (see Appendix: 8)
 - 14.1.4 The half-yearly progress reports shall be submitted by the candidate by the 15th January and 15th July respectively every year.
 - 14.1.5 Once the progress reports have been received by 15th January, the Chairperson of the University P.G Department shall arrange for the presentation of all the progress reports by 15th February, to enable the University to process all the files and conduct the Doctoral committee meeting in the months of March-April every year.
 - 14.1.6 Likewise once the progress reports have been received by 15th July, the Chairperson of the University P.G Department shall arrange for the presentation of all the progress reports by 16th August, to enable the University to process all the files and conduct the Doctoral committee meeting in the months of September-October every year.
 - 14.1.7 Any progress report submitted after the deadlines as stipulated from Clauses 14.1.1 to 14.1.6 shall not be received and/or considered.
 - 14.1.8 The half-yearly progress report should cover aspects such as: the review of literature, new data collected, research techniques developed, libraries visited, research publications if any, discussion of the work done including findings, etc.
 - 14.1.9 The candidate has to fill up the synoptic progress report, as per the format provided in Appendix- 8. The entries made by the candidate in the synoptic progress report format have to be substantiated in the detailed progress report, which shall be attached to the synoptic progress report format.
 - 14.1.10 After the presentation of the progress reports, the Chairperson of the University P.G. Department, shall send all the reports along with the proceedings and the attendance extract to the Registrar (Eval.)
- 14.2 If a candidate fails to submit two consecutive half-yearly progress Reports on time and/or if the progress of the candidate is not satisfactory for two half-year periods.

- 14.2.1 The Doctoral Committee can recommend to the University for cancellation of the registration in consultation with the concerned research supervisor.
 - 14.2.2 Before cancellation, a show-cause notice shall be issued to the candidate within one month after the Doctoral Committee meeting.
 - 14.2.3 If the candidate fails to reply to the show cause notice within one month after receiving the notice (by post/e-mail) the registration shall stand cancelled (a copy of the show cause notice shall also be sent to the concerned research supervisor and the Chairperson of the University Department).
 - 14.2.4 If the candidate replies to the show cause notice within one month period then the matter shall once again be taken up for discussion and consideration by the Doctoral Committee along with the concerned research supervisor before further action is taken for continuation or cancellation.
- 14.3 Once the registration of a candidate is cancelled by the Doctoral Committee after due procedures; and
- 14.3.1 If such a candidate desires to once again apply for the Ph.D. programme, then he/she can do so only after a gap of two years from the date of cancellation of the previous registration.
 - 14.3.2 In cases where the registration of a candidate is cancelled a second time by the Doctoral committee, then such candidates shall not be eligible to apply again for the Ph.D. programme in the University.
 - 14.3.3 The University shall maintain a record of all the Ph.D. registrations that have been cancelled.
 - 14.3.4 The University shall provide for a slot in the Ph.D. application form to ascertain from the candidate whether the registration of the candidate has been cancelled by the University earlier.
- 14.4 The decisions taken by the Doctoral Committee - regarding change of research supervisor, extension of time for submission of the thesis, approval of the final synopsis, the issue of show cause notices etc - shall be communicated to the concerned candidate and research supervisor within one month after the Doctoral Committee meeting.
- 14.5 If any Ph.D. candidate, at any point of time, is found to be misbehaving/ harassing/ victimizing/ threatening the research supervisor and/or proved to be involved in illegal/criminal activities and acting in ways that hinders the research - the matter shall be referred to the Doctoral Committee for further action, including cancellation of the registration, if necessary.

15 CHANGE OF RESEARCH SUPERVISOR

- 15.1 Any request for a change of Research Supervisor shall be entertained only within two years from the date of the provisional Registration. After the two year period,

any request for change of supervisor shall not be permitted except on the basis of the reasons cited in Clause 15.2.

- 15.2 Under extraordinary circumstances such as – death/ disability on health grounds/ transfer of the supervisor/ the research supervisor having left the country permanently – then the change of research supervisor shall be permitted by the University at the request of the research supervisor/candidate. The matter shall be placed before the Doctoral Committee.
- 15.3 When a change of research supervisor is made, the social category of the concerned candidate shall be taken into consideration. Change of guideship can be made only if the research supervisor has a vacancy of the same social category as that of the candidate.
- 15.4 In case of any type of conflict between the research supervisor and the candidate, the Chairperson of the University P.G Department shall on receipt of the request letter either from the candidate or from the research supervisor or both, refer the matter to the Registrar (Eval). Similarly, in the case of the University constituent colleges/ recognised research centers research centers, the matter has to be referred to the Registrar (Eval). The Doctoral Committee shall examine the matter and give its opinion/recommendation. The decision of the Vice-Chancellor shall be final in this regard.

16 GUIDELINES FOR Ph.D. THESIS SUBMISSION

- 16.1 A full-time candidate after completing a minimum period of three years from the date of registration and a part-time candidate after completing a minimum period of four years from the date of registration - may submit an application along with five copies of the final synopsis of the thesis through the research supervisor to the Registrar (Eval) seeking permission to submit the thesis. This can be done not less than six months before the expiry of the minimum period prescribed in Clause 13.2 for part-time and full-time candidates.
- 16.2 The final synopsis shall be submitted to the Registrar (Eval) along with the final progress report. Once the final synopsis and progress report are submitted, no further six month progress reports need be submitted by the candidate.
- 16.2.1 If at the time of submitting the final synopsis, the last fractional report is due, then the candidate need not present the last fractional report before the RAC.
- 16.2.2 Similarly once the last Progress Report / Final synopsis are submitted and approved by the Doctoral committee, then no further fractional reports need be placed before the Doctoral Committee for approval. The last fractional report, if due, will ipso facto be taken as approved, and the thesis can be submitted for evaluation.

- 16.3 Before the submission of the final synopsis every candidate shall submit himself / herself to a pre-submission colloquium in the University P.G Department before the faculty and supervisors showing his/her preparedness to submit the thesis. The candidate shall present the methodology followed and the data collected/analysed. The feedback and comments made in the colloquium may be suitably incorporated into the thesis in consultation with the concerned research supervisor.

The proceedings of the colloquium shall be forwarded to the Registrar (Eval) by the concerned research supervisor through the Chairperson of the University P.G Department. The Chairperson shall forward the proceedings on the same day or the next working day.

- 16.4 Not later than six months after obtaining permission from the University to submit the thesis, every candidate shall submit four copies of the thesis through the Chairperson of the University P.G Department and the research supervisor to the Registrar (Evaluation) for evaluation

16.4.1 At this stage, each candidate shall also have to submit evidence of a minimum of two articles (vouching that there is no plagiarism) pertaining to the area of research in reputed/refereed journals.

16.4.2 The candidate shall also have to produce proof of having made at least two paper presentations in conferences and/or seminars at the time of the submission of the thesis. Evidence shall be produced in the form of certificates and/or reprints.

- 16.5 The University shall evolve a mechanism using well developed software and gadgets to detect plagiarism and other forms of academic dishonesty.

- 16.6 The thesis shall contain a declaration from the candidate attesting to the originality of the work, vouching that there is no plagiarism and that the work has not been submitted for the award of any other degree/diploma of any other University (see Appendix 3)

- 16.7 The thesis shall also contain a declaration from the research supervisor that the thesis or part there of has not previously been submitted for any other degree/ diploma/ dissertation by the candidate (see Appendix 4).

- 16.8 If a thesis is proved to be copied/ plagiarised, the University shall rescind the degree of the candidate. In case it is proved that the research supervisor has also been complicit, then the recognition of guide-ship of the research supervisor shall be withdrawn for a minimum period of three years.

- 16.9 No candidate shall be allowed to submit the thesis without following the procedures and fulfilling all the requirements as prescribed in the Ph.D. regulations of the University. These requirements pertain to the following: the candidate has to be registered in the University, have a full-fledged research supervisor, complete the course work as applicable, submit the synopsis, submit all the progress

reports/fractional reports, submit the final synopsis, complete the pre-submission colloquium, pay all the required fees etc. Unless these requirements are fully met the thesis cannot be submitted. Neither will it be accepted.

17 ADJUDICATION OF THE THESIS

- 17.1 The Chairperson of the University P.G Department shall place before the BOS/circulate the Ph.D. panel of adjudicators prepared by the concerned research supervisor for approval. The research supervisor shall include the emails and mobile cell numbers of all the adjudicators, which will help to expedite the process of evaluation. The Ph.D. panel shall be placed before the BOS/circulated for approval by the Chairperson of the University P.G. Department. This can be done only after a minimum of two years from the date of the Ph.D. registration. The Ph.D. panel approved by the BOS shall be sent to the Registrar (Eval) by the Chairperson of the University P.G Department within a week after it is approved by the BOS.
- 17.2 The panel of adjudicators prepared and approved by the Board of Studies shall include only experts in the concerned field and not below the cadre of Associate Professors, or senior scientists, who possess Ph.D. in the concerned discipline/subject and have rich research experience in the field.
- 17.2.1 Further, out of ten experts, at least five may be from universities/institutions outside Karnataka and/or foreign Universities.
- 17.2.2 An exception shall be made for the Foreign Languages Department because of the difficulty of getting experts to evaluate the thesis. Hence the panel for the Foreign Languages Department shall consist of five examiners. The Vice chancellor shall choose two experts out of this panel to evaluate the thesis.
- 17.3 For the adjudication of the Ph.D. thesis, there shall be a Board of Adjudicators consisting of the research supervisor as Chairperson and two external members to be chosen by the Vice-Chancellor from a panel of ten experts (the Foreign Languages Department panel shall consist of five experts as indicated in Clause 17.2.2) approved by the Board of Studies.
- 17.4 The Ph.D. thesis submitted by the candidate shall be evaluated by at least two external examiners, who are not in employment within the University, of whom one examiner may be from outside the State/country.
- 17.5 Each of the adjudicators shall send the evaluation report to the Registrar (Eval) with a copy to the research supervisor, within two months from the date of receipt of the thesis.
- 17.6 Even after a reminder, if the external examiner does not respond or send the evaluation report within two months (sixty days), the thesis can be sent to the

reserve expert. In such cases, the University shall obtain one more copy of the thesis from the candidate.

17.7 The University shall develop and use appropriate methods and technologies. Email communication shall be used to expedite the process for securing the consent of the external examiner as well as sending reminders. Except under extraordinary circumstances, the entire process of evaluation of the Ph.D. thesis shall be completed within a period of six months from the date of submission of the thesis.

17.8 Each adjudicator's report shall include the following:

- A critical account of the work of the candidate as embodied in the thesis and an evaluation of the work in terms of its contribution to the advancement of knowledge.
- Details of any question/s to be asked to the candidate or points to be clarified by the candidate at the open viva-voce examination.

The adjudicators shall also be required to answer specifically the following:

- a) Whether the Ph.D. Degree can be awarded on the basis of the thesis as presented.
 - b) Whether the thesis should be referred back to the candidate for revision and resubmission.
 - c) Whether the thesis be rejected and the candidate not be awarded the Ph.D. Degree.
 - d) Whether the thesis is fit for publication or not.
- 17.9 If both the evaluation reports received by the Registrar (Eval) are positive/favourable, the Registrar (Eval) shall permit the concerned research supervisor to arrange for the open viva-voce examination, by inviting one of the external adjudicators.
- 17.10 If one of the examiners recommends for re-submission of the thesis the Registrar (Evaluation) shall communicate to the concerned candidate, for re-submitting the thesis after suitable modifications within six months from the date of communication. The re-submitted thesis shall be sent to the original adjudicator, unless he is unwilling to act as such, in which case it may be sent to another examiner from the approved Panel.
- 17.11 No candidate shall be permitted to resubmit the thesis more than once.
- 17.12 The public viva-voce examination of the candidate to defend the thesis shall be conducted only if the evaluation reports of both the external examiners are satisfactory, and include a specific recommendation for conducting the viva-voce examination.

- 17.13 If one of the evaluation reports of the external examiner does not recommend viva-voce, the following procedure will be followed:
- 17.13.1 The University shall send the thesis to another external examiner out of the approved Ph.D. panel of examiners and the viva-voce examination shall be held only if the report of this examiner is satisfactory.
- 17.13.2 If the report of this examiner is also unsatisfactory, the thesis shall be rejected and the candidate shall be declared ineligible for the award of the degree.
- 17.14 If one of the adjudicators makes a clear recommendation against the award of the Degree, the thesis shall be referred to another external adjudicator from the approved Ph.D. panel of examiners. If the report of this adjudicator is favourable, then the candidate shall be eligible to appear for the open viva-voce examination. Otherwise, the thesis shall stand rejected.
- 17.15 If both the external adjudicators make definite recommendations against the award of Ph.D. Degree, the thesis shall stand rejected.

18 VIVA-VOCE EXAMINATION

- 18.1 On the receipt of the favourable/satisfactory reports from the external adjudicators, an open viva-voce examination shall be conducted on any working day by a Board constituted by the University as shown below. The viva-voce examination shall be conducted by the research supervisor with at least one of the two external examiners.

The viva-voce Board shall comprise the following members:

- Research Supervisor : Chairperson
- The Chairperson of the Department : Member
- One external Adjudicator : Member

- 18.2 In case where the research supervisor cannot attend the viva-voce examination, then the co-supervisor or external adjudicator who had evaluated the thesis shall be made the Chairperson. However, a written consent from the research supervisor and approval from the Registrar (Eval) shall be mandatory.

When the external adjudicator is appointed as the Chairperson, then the other external adjudicator who had adjudicated the thesis shall be invited as the member if he/she is from India. If the external examiner declines to come, then another expert from the approved Ph.D. Panel shall be invited as the member.

- 18.3 Under extraordinary circumstances where neither of the external adjudicators can be present for the viva-voce examination, the Registrar (Eval) shall appoint any external expert from the approved Ph.D. panel to conduct the viva-voce examination.

- 18.4 The Chairperson of the viva-voce Board shall display one week before the open viva-voce examination, details of the viva-voce examination on the official notice board of the University P.G Department, which shall be open to research supervisors in the Department and other research centers, faculty members of the Department, research scholars and interested experts/researchers.
- 18.5 The viva-voce examination shall primarily be designed to test the candidate's grasp of the area of research and his/her ability to defend the thesis. The points raised by the adjudicators shall be clarified during the viva-voce examination, and the reports of the examiners shall be made available to the Board.
- 18.6 In case of candidates who are abroad and unable to be present physically, the viva-voce examination may be held through video-conference mode (with open viva) after the candidate pays the additional fees prescribed by the University.
- 18.7 The Report of the open viva-voce examination shall be signed by the research supervisor, the external examiner and the Chairperson of the University P.G Department.
- This report shall be forwarded by the Chairperson of the University P.G Department to the Registrar (Eval) on the same day or the next working day.
- 18.8 If the performance of the candidate in the viva-voce examination is not satisfactory, he/she may be given only one more chance to reappear for the viva-voce exam within three months.
- 18.9 If the candidate deceases prior to conduct of the viva-voce examination, the University may award the Ph.D. degree posthumously, provided both the external reports are favourable, as a special case.
- 18.10 If the candidate fails to take the viva-voce examination, the RAC of the University P.G Department can permit a maximum three months' extension. If the candidate still fails to take up the viva-voce examination even during the extended period, the matter shall be referred by the Chairperson of the University PG Department to the Registrar (Eval) for further action.

19 Ph.D. NOTIFICATION AND AWARD OF THE DEGREE

- 19.1 After the successful completion of the open viva-voce examination by the candidate, the Chairperson of the Viva-voce Board shall send the report of the viva-voce examination along with the consolidated report on the thesis and recommendation made by the viva voce Board. These shall be forwarded by the Chairperson of the University P.G Department to the Registrar (Evaluation) for award of the Degree of Doctor of Philosophy to the candidate. The Report shall be forwarded by the Chairperson on the same day or the next working day.

- 19.2 The University shall issue the Declaration Notification within one month after the viva voce examination.
- 19.3 The candidate shall, after the viva-voce examination, incorporate the suggestions, if any, by the adjudicators into the thesis, and submit two hard copies and two soft copies (in pdf format) to the Registrar (Evaluation) for sending them to the University Library and the soft copy to the UGC.

20 PUBLICATION OF THE THESIS

- 20.1 After the award of Ph.D. Degree, the entire thesis or any part of the thesis may be published by the candidate with the written permission of the University, giving due credit to the research supervisor. Two copies of the published works shall be submitted to the University Library.
- 20.2 After the award of the Ph.D. Degree, the thesis or any publication derived from the thesis work shall be the property of the University. The University shall rightfully share with the candidate and research supervisor for any copyright, patent or recognition to the thesis.

21 DOCTORAL COMMITTEE (DC)

- 21.1 There shall be a subject-wise Doctoral Committee constituted by the University for the purpose of smooth conduct of the Ph.D. programme.

The Committee shall comprise of the following members:

- Dean of the concerned Faculty : Chairperson
- Chairperson of the University : Member
P. G. Department/P.G. Board of Studies
- External Expert in the subject : Member
- Research Supervisors : Members
- Deputy Registrar/Assistant Registrar : Convenor

The quorum for the meeting shall be three. If the Dean is not available, the Chairperson of the concerned P.G. Board of Studies shall preside over the meeting and vice-verse.

- 21.2 The Doctoral Committee shall meet at least twice a year, preferably in March-April and September-October.
- 21.3 The Doctoral Committee shall be re-constituted once in two years.

22 DUTIES AND RESPONSIBILITIES OF THE DOCTORAL COMMITTEE (DC)

- 22.1 To consider the half-yearly progress reports/fractional reports of all the registered candidates

- 22.2 To consider any request from the candidate for a change in the title of the thesis and extension of time for the submission of the thesis. Request for a change in the title can be made at any stage.
- 22.3 To approve the final synopsis and grant permission for submission of thesis.
- 22.4 To issue show cause notices to candidates, if required.
- 22.5 To carry out such other duties as the University may entrust to the Committee from time-to-time.

23 RESEARCH ADVISORY COMMITTEE (RAC) AND ITS FUNCTIONS

- 23.1 There shall be a Research Advisory Committee(RAC) consisting of all the research supervisors of the concerned University P.G Department, which shall be convened and chaired by the Chairperson of the University P.G. Department. This Committee shall have the following responsibilities:
 - 23.1.1 To allot candidates for the Ph.D. programme from the Consolidated Merit List i.e., from both the Entrance Test category and the Non-entrance test category.
 - 23.1.2 To review the research proposal and help the candidate develop the study design and methodology of research.
 - 23.1.3 To review the progress reports of the candidates once in six months as per the provisions of Clauses 14.1.1 to 14.1.10.
 - 23.1.4 To undertake such other responsibilities that the University may assign from time-to-time.
- 23.2 All the candidates shall appear before the RAC in the University PG Department once in six months to make a presentation of the progress of the research work, following which, the Chairperson of the University P.G Department shall submit all the progress reports to the Registrar (Eval) for further action.
- 23.3 In case the progress of the candidate is unsatisfactory, the RAC shall record the specific reasons for the same and suggest corrective measures. If the candidate fails to implement these corrective measures, even by the next round of presentations, the RAC along with the consent of the concerned research supervisor may recommend the matter to the Registrar (Eval) for further action.

24 PROHIBITION FOR DOING Ph.D.

- 24.1 The statutory officers of the University are prohibited from registering for Ph.D. degree in the same University during their tenure.

25 DEPOSITORY WITH INFLIBNET

- 25.1 Following the successful completion of the evaluation process and announcement of the award of Ph.D. the University shall submit a soft copy of the Ph.D. thesis to the UGC within a period of thirty days, for hosting the same in INFLIBNET.
- 25.2 Prior to the actual award of the degree, the University shall issue a Declaration Notification to the effect that the Degree has been awarded in accordance with the provisions of the UGC Regulations, 2016.

26 REPEAL AND SAVINGS

- 26.1 Notwithstanding anything contained in these Regulations, the Provisions of Guidelines, Order, Rule or Regulations in force shall be inapplicable to the extent of their inconsistency with these Regulations.
- 26.2 The University shall issue such orders, instructions and prescribe the format and procedure, as it may deem fit to implement the provisions of these Regulations from time-to-time.
- 26.3 If any difficulty arises in the implementation of these Regulations, the Vice-Chancellor shall, in consultation with the Deans, be competent to issue necessary clarifications and at the earliest possible opportunity and thereafter report the action taken by him to the Academic Council.

APPENDIX 1 : *Layout of Outer Cover*

TITLE OF THE THESIS

Thesis submitted to Bangalore University

For the award of the degree of

DOCTOR OF PHILOSOPHY

NAME OF THE CANDIDATE

BANGALORE UNIVERSITY LOGO

Department's name

BANGALORE UNIVERSITY

BANGALORE 560 056

YEAR

APPENDIX 2 : *Layout of Title Page*

TITLE OF THE THESIS

Thesis submitted to Bangalore University

For the award of the degree of

DOCTOR OF PHILOSOPHY

NAME OF THE CANDIDATE

NAME OF THE GUIDE

BANGALORE UNIVERSITY LOGO

Department's name

BANGALORE UNIVERSITY

BANGALORE 560 056

YEAR

APPENDIX 3 : *Declaration by the Research Candidate*

DECLARATION

I declare that the thesis entitled ‘.....’ submitted by me for the award of the degree of Doctor of Philosophy of Bangalore University is my own work. I vouch that the thesis has not been plagiarised and that the University can rescind the degree at any point of time, if it found to be plagiarised. The thesis has not been submitted for any degree/diploma of this university or any other University prior to this date.

Sd/-

Place:

Date:

NAME OF THE CANDIDATE

APPENDIX 4 : *Declaration by the Research Supervisor*

DECLARATION

I declare that the thesis entitled ‘.....’ submitted by Sri/Smt..... for the award of the degree of Doctor of Philosophy of Bangalore University has not been submitted for any degree/diploma of this university or any other University prior to this date.

Sd/-

Place:

Date:

NAME OF THE RESEARCH SUPERVISOR

(A similar Declaration shall be given by the co-supervisor, if applicable)

APPENDIX 5 : Certificate for Evaluation of the thesis

We recommend that the thesis be placed
before the examiners for evaluation

Sd/-

Sd/-

NAME OF THE CHAIRPERSON

NAME OF THE SUPERVISOR

(P.G. Board of Studies)

SEAL

SEAL

Place:

Date:

APPENDIX 6 : *Ph.D. Synopsis Format*

The synopsis is expected to provide a broad and comprehensive exposure of the candidates' field of research. The length of the synopsis should ideally be **between 12-14 pages** (excluding bibliography) in A4 size paper, with **Times New Roman, Font 12 and 1.5 line spacing**.

The synopsis must follow the following structure:

Section 1 : Background (two pages)

The candidate must briefly posit the broad context of the research problem.

Section 2 : Review of Literature (six pages)

The review of literature must be organised in a thematic manner and NOT merely as a listing of summaries of important references. In the process, such issues relevant to the thesis must be highlighted. Thereby the research gaps can also be identified.

Section 3 : Definition, rationale and Scope of the Study (one-and-a-half pages)

Based on the critical survey of relevant literature brought out in section 2 , the candidate shall clearly define the research area that is being addressed and explain the rationale and scope of the study.

Section 4 : Research Problem/Questions and Hypotheses (one page)

In this section the candidate should present a clear statement of the research problem. The research questions must be clearly formulated. This should be followed by a crisp and cogent statement of the research hypotheses posed in the form of falsifiable propositions.

Section 5 : Research Methods (one page)

The candidate should provide a clear description of how the research questions will be addressed/answered. Hence a detailed exposition of the research methods shall be provided in this section. Statements like the use of descriptive/analytical methods will not suffice. The candidate must also mention the type/sources of data required for the study.

Section 6 : Tentative chapters (maximum two pages)

The scholar should provide a list of tentative chapter titles with a brief description (not exceeding 4-5 lines each) of the content of each chapter. The first and last chapter must be titled 'Introduction' and 'Conclusion' respectively.

Bibliography

All the sources that may be relevant to the research should be listed in the style outlined in Appendix 9.

APPENDIX 7 : *Layout, font and structure*

- The thesis must be printed **back-to-back on A4 size paper**, within a margin of 1.5 inches on the left-side of each page and **1 inch on the right side**. The **top and bottom margins should also be an inch**.
- The main text shall be in **Times New Roman, Font 12 and 1.5 spacing**. Long quotations (running over two sentences) should be single space and left indented. The candidate has to ensure that the print is legible and clear.
- Use either Indian (British) spellings or American spellings. Do not use both simultaneously in the thesis.
- The page numbering shall start from the Introductory chapter upto the end of the References.
- The page numbering from the Declaration by the candidate to the List of Abbreviations (optional) - shall be in Roman numerical.
- The page numbering for the Annexures shall be in Roman numerical.

The thesis shall have the following sequence of items;

1. Cover Page (Appendix 1)
2. Title Page (Appendix 2)
3. Declaration by the candidate (Appendix 3)
4. Declaration by the research supervisor (Appendix 4)
5. Certificate for evaluation of the thesis (Appendix 5)
6. Acknowledgements
7. Table of Contents
8. List of Figures, Tables and Illustrations
9. List of Abbreviations (optional)
10. Chapters of the thesis
11. Annexure (if any)
12. References

Chapter 1 should be called '**INTRODUCTION**' containing a clear exposition of the context and rationale of the study, the research questions(s), the hypotheses, scope of the study, the methodology and an outline of the structure of the thesis.

The **final chapter** should be called '**CONCLUSIONS**' and must briefly restate the research problem and summarise the main findings and implications of the study.

APPENDIX 8 :**BANGALORE UNIVERSITY, BANGALORE****Ph.D. Progress Report in synoptic form**

Progress Report Number: _____

Period of the six month Progress Report: (If it is a Fractional Report also specify the period)	
Name of the Scholar	
Date of Registration and No	
Name of the Guide	
Title of the Thesis	
Average no. of hours spent on research work per week during the period	
Total no. of interactions with your research guide during the period	

1 Abstract of Research Progress

1.1 No. of Journal articles read	
1.2 No. of books/reports referred	
1.3 Libraries visited	
1.4 Data bases accessed	
1.5 Persons met for research purpose	
1.6 Institutions visited for research purpose	
1.7 Any other efforts	

2 Conferences/ Seminars/Workshops/Publications

2.1	No. of Conferences / Seminars attended	
2.2	No. of Workshops/Training Programmes attended (research related)	
2.3	No. of Papers presented at State/National/International level	
2.4	No. of papers published in National/International Journals	
2.5	Other publications (Books, Articles, Conference proceedings etc)	

1	Self-Evaluation of your performance	
2	Any other information the candidate will like to submit	

Signature of the Research Scholar

Date.....

Assessment of the candidates progress by the Research Supervisor	Excellent	Good	Satisfactory	Not Satisfactory
				(reasons to be specified in a separate note, and to be attached)

Signature of the Supervisor.....

Date.....

Signature of the Chairperson.....

Date.....

Note: The detailed progress report shall be prepared as per the provisions of clause 14.1.8 and attached to the synoptic progress report form.

APPENDIX 9 : References Format

REFERENCES

(* indicates a primary source)

- Follow the following style for different types of references:

Books

Surname, First Names/Initials (year), *Title in italics*, Place: Publisher.

Abraham, I. (1999), *The Making of the Indian Atomic Bomb: Science, Secrecy and the Postcolonial State*, New Delhi: Orient Longman.

Articles or other work in a journal

Surname, First Names/Initials (year), "Title of the article", *Name of Journal in italics*, vol (no): page numbers.

Arrow, K.J. (1962), "The Economic Implications of Learning by Doing", *Review of Economic Studies*, 29 (3): 155-173.

Article, chapter, or work in an edited volume

Surname, Firstnames/Initials (year), "Title of the article", in Names of Editors (eds.) *Title of the edited volume*, Place: Publisher.

Cox, Robert (1992), "Towards a Post-Hegemonic Conceptualisation of World Order: Reflections on the Relevancy of Ibn Khaldun", in James N. Rosenau and Ernst-Otto Czempiel (eds.) *Governance without Government: Order and Change in World Politics*, Cambridge: Cambridge University Press.

Article in a newspaper or magazine

Surname, Firstnames/Initials (year), "Title of the article", *Newspaper*, Place, Exact date [dd Month yyyy].

Chatterjee, Partha (1999), "Fragile Distinctions: Between Good and Bad Nationalism", *The Times of India*, New Delhi, 20 August 1999.

Unpublished dissertation or paper

Surname, First Names/Initials (year), *Title of thesis*, Ph.D Thesis, Place: University.

Bhattacharya, Abanti (2004), *Chinese Nationalism: The Impact on Policy*, Ph.D. Thesis, New Delhi: Jawaharlal Nehru University.

Translated book

Surname, Firstnames/Initials (year), *Title of the Translated work*, Name of Editor (ed) if any, Translated by Names of the Translators, Place: Publisher.

Borges, Jorge Luis (1999), *Selected Non-Fictions*, Elliot Weinberger (ed), Translated by E. Allen, S.J. Levine and E. Weinberger, New York: Penguin.

Government, International Organisations and NGO publications

Name of the Government/ International Organisation (year), *Title*, Publication Details (number etc) if any, Place.

Government of India (2005), *Economic Survey 2004-2005*, Ministry of Finance, New Delhi.

OR

Ministry of Commerce (2000), Government of India, Ministry of Commerce, *Newsletter of Ministry of Commerce: India and the WTO*, 2 (12) December.

Ministry of Commerce (2001a), Government of India, Ministry of Commerce, 'India reaffirms position on WTO issues at Mexico meet', *Newsletter of Ministry of Commerce: India and the WTO*, 3 (6-7), June-July: 3-9.

Ministry of Commerce (2001b), Government of India, Ministry of Commerce, 'India reaffirms position on WTO issues at Mexico meet', *Newsletter of Ministry of Commerce: India and the WTO*, 3 (9), September.

World Bank (2003), *World Development Report 2003*, Oxford University Press: New York.

Congressional Hearings, I, (1999), US 106th Congress, Session 1st, Senate, Committee on Foreign Relations, Hearings, The Taiwan Security Enhancement Act, August 4, 1999 (US Government Printing Office: Washington. DC).

Legal case

List cases by title; give volume number and abbreviated name of reporting service, starting page-number in the volume, court that decided the case, and year.

Examples:

Document/agreement obtained from the United Nations Treaty Series (UNTS):

Agreement for the Prosecution and Punishment of the Major War Criminals of the European Axis, (1951), 82 UNTS 280.

Geneva Convention of August 12, 1949 Relative to the Treatment of Prisoners of War, (1950), 75 UNTS 135.

United Nations Documents like General Assembly Resolutions/ Security Council Resolutions:

Rome Statute of the International Criminal Court (Year), UN Doc A/CONF.183/9,
Statute of the International Tribunal for the Former Yugoslavia (1993) UN Doc. S/RES/827, annex.

Statute of the International Tribunal for Rwanda (1994), UN Doc. S/RES/955, annex.

GA Res. (Year), 217 A (III), UN Doc. A/810 (the reference to this GA Resolution and the text etc. would be there in the main text).

Decisions of the International Court of Justice:

Legality of the Threat or Use of Nuclear Weapons, Advisory Opinion, (1996), ICJ Reports, 226, para. 70

LeGrand (Germany v. United States of America), Provisional Measures, Order of 3 March 1999, (1999), ICJ Reports 1, para. 29.

GATT Document in the Basic Instruments and Selected Documents

Agreement on the Interpretation of Article VI of the General Agreement on Tariffs and Trade (GATT, BISD 26 Supp. 171 (1980).

WTO cases

United States-Standards for Reformulated and Conventional Gasoline. Appellate Body Report and Panel Report, WTO Document WTO/DS2/9 of 20 May 1996.

Indian Supreme Court (SC)/High Court cases reported in the All India Reporter (AIR).

Lallubhai Chakubhai Jariwalala vs. Samaldas Sankalchand Shah, AIR, 1934 Bom. 407.

Mahendra Verma vs. Rajendra Verma, AIR 1999 SC 3345.

Some Variants

Two authors

Bhagwati, J and P. Desai (1970), *India: Planning for Industrialisation*, London: Oxford University press.

Three or more authors

Judge, G.G. et al. (1985), *The Theory and Practice of Econometrics*, New York: John Wiley & Sons.

Reprinted book

Schumpeter, J.A. (1954), *History of Economic Analysis*, New York: Oxford University Press, reprinted 1976.

Book in several volumes

Chenery, H. and T.N. Srinivasan (eds) (1988), *Handbook of Development Economics*, Vol. I, Amsterdam: North Holland.

Indirect Source

For a source you know only as it is quoted or cited by another scholar, give full publication data for the original source and for the other scholar, linked by the phrase "quoted in" or "cited in."

Levi-Strauss, C. (1969) *The Raw and the Cooked: An Introduction to a Science of Mythology*. New York: Harper & Row. Quoted in Howard Gardner (1983), *Frames of Mind: The Theory of Multiple Intelligences*, New York: Basic Books.

Internet Sources

Brin, D. (1993), "The good and the bad: Outlines of tomorrow", [Online: web] Accessed 5 Sept. 1995 URL: <http://kspace.com/KM/spot.sys/Brin/pages/piece1.html>.

Harvey, G. (1995), *Writing with Sources: A Guide for Harvard Students*, Gordon Expository Writing Program, Harvard University, [Online: web] Accessed 15 May 2005, URL: <http://www.fas.harvard.edu/~expos/sources/>.

ICTSD (2004), International Centre for Trade and Sustainable Development (Geneva), "India Submits Cautious Services Offer", *BRIDGES Weekly Trade News Digest*, 8 (3), 28 January: 4-5, URL: <http://www.ictsd.org/weekly/04-01-28/BRIDGESWeekly8-03.pdf>.

IFATPC (2004), International Food and Agricultural Trade Policy Council, "Twenty-Five Ways to Improve the Derbez Draft on Agriculture", 10 February. URL: <http://www.agritrade.org/Doha/Derbez/Assessment%20Paper.pdf>.

Przeworski, Adam and Frank Salomon (1995), "The Art of Writing Proposals: Some Candid Suggestions for Applicants to Social Science Research Council Competitions", New York: Social Science Research Council, revised 1998, [Online: web] Accessed 3 February 2006, URL: http://www.ssrc.org/fellowships/art_of_writing_proposals.page.

Class lecture, conference paper, speech, or performance

Lal, Deepak (2005), "Globalizing Capitalism", Lecture delivered on 19 April 2005 at the Centre for International Trade and Development, School of International Studies, Jawaharlal Nehru University: New Delhi.

Prior to citing lectures and conference papers, ensure that the author has not specified that the lecture/paper is not for citation.

Personal or phone interview, letter or e-mail

Agarwal, M.L. (2005), e-mail to the author, 5 April 2005.

Weeramantry, C.G. (2000), personal interview, Baudholoka Mawatha, Colombo, 28 April 2000.